

DEALER CORPORATE OFFICER CHANGE APPLICATION

Instructions

- 1. **Please complete all items**. Note: Item 6 must list *all current* owners, partners, or officers, including new applicants. Item 9 must be signed by *all current* owners, partners, or officers, including new applicants. Items 7 and 8 must be completed for each new applicant.
- 2. Submit a Rider for Vehicle Dealer Surety Bond (applies only to partnerships and to individual ownerships when adding a spouse). A bond rider listing all current owners or partners and showing the correct assumed name (d/b/a) and business address must be submitted.
- 3. Complete a new assumed name filing (applies only to partnerships and individual ownerships when adding a spouse). A new assumed name or d/b/a filing listing all current owners or partners and showing the correct business address must be submitted. The assumed name filing must be obtained from the County Clerk for the county in which your dealership is located.
- 4. Each new applicant listed in Item 6 on the application must be **fingerprinted**. Fingerprints are taken by appointment only. To see information, options and to schedule an appointment, you must go to the IdentoGO website for Michigan and choose the appropriate link. Use the link below to go to this page.

IdentoGO Michigan Fingerprinting & Enrollment Services

You will need the Agency ID from our RI-030 Live Scan form (included in this packet) to search in the IdentoGO website. Be sure to take the form with you when you are printed so it can be completed by the Live Scan agency.

Please note that we no longer accept physical hard copies of fingerprints in our office. Any hard copies submitted to our office will be destroyed and you will have to be re-printed. There is an option to submit hard copies of prints to IdentoGO for processing; follow the above IdentoGO link for instructions on how to submit them.

All fees associated with fingerprinting will be collected by the Live Scan agency. They will provide you with a completed RI-030 form to submit with your completed *Dealer Corporate Officer Change Application*.

Please complete the application carefully and return with a copy of the fingerprint receipt, RI-030 form, bond rider and assumed name filing, if applicable. Please remember that the owners/partners names, business name and business address must be exactly the same on the application, bond rider and assumed name filing.

RETURN TO: Michigan Department of State Business Licensing Section Lansing, MI 48918

Questions? Contact the Business Licensing Section at <u>Licensing@michigan.gov</u> or call 1-888-SOS-MICH (1-888-767-6424)

MICHIGAN DEPARTMENT OF STATE

DEALER CORPORATE OFFICER CHANGE APPLICATION

READ CAREFULLY BEFORE TYPING OR PRINTING									
1.	BUSINESS NAME (Include any assumed name or corporate name	2. DEALER NUMBER							
3.	BUSINESS TYPE (Check only one)		4. BUSINESS TELEPHONE						
	☐ Individual Owner (one person or husband & wife)	☐ Corporation							
	☐ Partnership (two or more people or husband & wife)	☐ Limited Liability Company	()						
5.	BUSINESS LOCATION (Street)	(City)	(Zin) (County)						
	(Sileel)	(Oity)	(Zip) (County)						
6. OWNERS, PARTNERS, CORPORATE OFFICERS AND DIRECTORS List information for ALL OWNERS, PARTNERS, CORPORATE OFFICERS AND DIRECTORS. For corporations, "owners" includes any stockhold									
	List information for ALL OWNERS, PARTNERS, CORPORATE OFFICERS AND DIRECTORS. For corporations, "owners" includes any stockho 10% or more of the stock issued. Limited liability companies must include information for ALL MEMBERS AND MANAGERS.								
	ALL NEW PERSONS LISTED ARE CONSIDERED NEW APPL								
FULL	NAME HOME ADDRESS (Street)	(City/State/Zip) Social	al Security Number Birthdate						
FULL	NAME HOME ADDRESS (Street)	(City/State/Zip) Soci	al Security Number Birthdate						
I OLL	TIONE / IDDNESS (Guest)	(Only/State/Zip)	ar occurry rearriser Birtinate						
FULL	NAME HOME ADDRESS (Street)	(City/State/Zip) Socia	al Security Number Birthdate						
FULL	NAME HOME ADDRESS (Street)	(City/State/Zip) Social	al Security Number Birthdate						
7. ARRESTS OR CONVICTIONS – NEW APPLICANTS Have any of the new applicants listed in item 6 been arrested or convicted of a crime other than traffic violation(s) within the past 10 years?									
									NO ☐ YES If YES, give the name(s) of the applicant(s)
8.	APPLICANT HISTORY – NEW APPLICANTS								
0.	A. Have any of the new applicants listed in Item 6 been REFUSED THE ISSUANCE of a vehicle dealer, salvage vehicle agent, or broker license?								
	□ NO □ YES If YES, give the name(s) of the applicant(s) involved and complete details on a separate sheet.								
,	B. Have any of the new applicants listed in item 6 had a vehicle dealer, salvage vehicle agent or broker license REVOKED OR SUSPENDED IN								
	MICHIGAN OR ANY OTHER STATE?								
	NO YES If YES, give the name(s) of the applicant(s) involved and complete details on a separate sheet.								
•	C. Within the past 5 years, has any new applicant listed in Item 6 been licensed in Michigan or any other state as a VEHICLE DEALER, SALVAGE								
	VEHICLE AGENT, OR BROKER?								
•	NO YES If YES, complete the following and complete 8D (attach additional sheets if necessary). APPLICANT NAME APPLICANT NAME								
	APPLICANT NAME								
	DEALERSHIP NAME	DEALERSHIP NAME							
		DEALERSHIP ADDRESS							
	DEALERSHIP ADDRESS								
•	DEALER LICENSE NUMBER								
	DATECHICENCED	DATES LICENSED							
	DATES LICENSED From: To:	To:							
	DEALERSHIP TELEPHONE # ()	From: 1 DEALERSHIP TELEPHONE # ()						
	,	•							

8.	APPLICANT HISTORY – NEW APPLICANTS CONTINUE								
	D. Within the past 5 years, has any new applicant listed	in Item 6 been employed as an AGENT FOR	ANY DEALER in Michigan or	any other state?					
		(attach additional sheets if necessary):							
	APPLICANT NAME	APPLICANT NAME							
	DEALERSHIP NAME	DEALERSHIP NAME							
	JOB TITLE	JOB TITLE							
	DATES EMPLOYED	DATES EMPLOYED							
	From: To: E. Is any new applicant listed in Item 6 RELATED BY E	From:	To:	dealer salvage					
	vehicle agent, or broker?								
		(attach additional sheets if necessary):							
	APPLICANT NAME	APPLICANT NAME							
	LICENSED DEALER NAME	LICENSED DEALER N	LICENSED DEALER NAME						
	RELATIONSHIP TO LICENSED DEALER	RELATIONSHIP TO LI	CENSED DEALER						
	DEALERSHIP NAME	DEALERSHIP NAME							
	DEALER LICENSE #	DEALER LICENSE #							
	DEALERSHIP ADDRESS	DEALERSHIP ADDRE	SS						
	F. 5- YEAR EMPLOYMENT HISTORY – NEW APPLICANTS For each new applicant listed in Item 6, please complete the employment history information below. Attach additional sheets if necessary. If self-employed – list name, business address and type of business. If unemployed – list name and dates of unemployment								
	APPLICANT NAME	APPLICANT NAME	id dates of unemployment						
	EMPLOYER NAME	EMPLOYER NAME							
	EMPLOYER ADDRESS	EMPLOYER ADDRESS	EMPLOYER ADDRESS						
	JOB TITLE (if self-employed or unemployed, indicate that here	e) JOB TITLE (if self-emp	JOB TITLE (if self-employed or unemployed, indicate that here)						
	DATES EMPLOYED From: To:	DATES EMPLOYED From:	To:						
9.	SIGNATURES AND CERTIFICATIONS – ALL APPLICANTS		10.						
	I/we certify that the statements contained in this application are true. I/we as owner(s), partner(s), officer(s) or director(s) of the corporation have the authority to sign this application.								
	I/we understand that any misleading, incomplete, or false state license issued.	ements may be grounds for denial of this appli	cation or suspension or revoca	tion of the dealer					
	I/we hereby grant the licensing authority in any state or jurisdiction listed in items 8B, 8C, and 8D the authority to release information to the Secretary of State or his/her deputies regarding any previous license applications, licensing history, and disciplinary actions or sanctions.								
	I/we certify that the persons named on this license are not acting as the alter ego, in the place of, or on behalf of, any other person or persons in seeking this license.								
	I/we stipulate and agree that any legal process affecting this business served on the Secretary of State or his/her deputies shall have the same effect as if personally served on me/us. I/we agree that this appointment shall remain in force as any liability of this business remains outstanding within the State of Michigan.								
	Printed Name	Signature	Title	Date					
	Printed Name	Signature	Title	Date					
	Printed Name	Signature	Title	Date					

Signature

Title

Date

Printed Name

RI-030 (10/2020) Michigan State Police Page 1 of 2 **AUTHORITY:** MCL 28.162, MCL 28.214, MCL 28.248, & MCL 28.273 **COMPLIANCE:** Voluntary. However, failure to complete this form will result in denial of request.

LIVE SCAN FINGERPRINT BACKGROUND CHECK REQUEST

Purpose: To conduct a civil fingerprint-based background check for employment, to volunteer, or for licensing purposes as authorized by law.

instructions. See page (wo.												
I. Authorizing In	nformat	tion										
Fingerprint Reason Code			gency Name					4. Ir	4. Individual ID (MNU-OA)			
AR 1340A		I	Michigan [Departme	ent of Sta	te						
II. Applicant Inf	ormatic	n: Type	or clearly pri	nt ans	wers in all fie	lds before g	oing to be fi	ngerpri	nted.			
1a. Last Name				1b. l	First Name				1c. Mic	ddle Initial	10	d. Suffix
2. Any Alternative Na	2. Any Alternative Names, Last Names, or Aliases 3. Social Security Number (Optional)								(Optional)			
4. Place of Birth (Sta	ate or Cou	ntry)	5. Date of Bi	rth 6.	. Phone Numb	er	7. Driver's L	icense /	State ID	Number		8. Issuing State
9. Home Address				10. City				11. S			12. ZIP Code	
13. Sex 1	Sex 14. Race 15.1		Height	leight 16. Weight		17. Eye Color		18. H		air Color		
III. Live Scan In	formati	ion										
1. Date Printed			ID Type Prese	ented		3. Transacti	on Control Nu	umber (7	CN)	4. Live Sc	an O	perator*
			.2 . , po			01 110.10001			· · · · · ·		u 0	polato.
* When an individual ID is provided, please enter the ID into the Miscellaneous Number (MNU) field on the Live Scan device. Select OA - Originating Agency Identifier and then enter the unique identifier in the Identification Code field.												
IV. Privacy Act												
Authority: Acquisition, preservation, and exchange of fingerprints and associated information by the Federal Bureau of Investigation (FBI) is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application. Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI. Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine Uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, lic												
V. Procedure to Obtain a Change, Correction, or Update of Identification Records									1			
If, after reviewing his/her identification record, the subject thereof believes that it is incorrect or incomplete in any respect and wishes changes, corrections, or updating of the alleged deficiency; he/she should make application directly to the agency which contributed the questioned information. The subject of a record may also direct his/her challenge as to the accuracy or completeness of any entry on his/her record to the FBI, Criminal Justice Information Services (CJIS) Division, ATTN: SCU, Mod. D2, 1000 Custer Hollow Road, Clarksburg, WV 26306. The FBI will then forward the challenge to the agency which submitted the data requesting that agency to verify or correct the challenged entry. Upon the receipt of an official communication directly from the agency which contributed the original information, the FBI CJIS Division will make any changes necessary in accordance with the information supplied by that agency. (28 CFR § 16.34)												
VI. Consent												
I understand that my personal information and biometric data being submitted by Live Scan, will be used to search against identification records from both the Michigan State Police (MSP) and the FBI for the purpose listed above. I hereby authorize the release of my personal information for such purposes and release of any records found to the authorized requesting agency listed above.												
Signature:	Signature: Date:									:		

INSTRUCTIONS

Section I:

Authorizing Information:

This section is to be completed by the agency authorized to request civil fingerprint-based background checks.

1. Fingerprint Code:

The fingerprint code identifies the authorizing purpose in law allowing the agency to request the civil fingerprint-based background check. For example, School Employment (SE), Child Protection Volunteer (CPV), Health Care employment (HC).

2. Requesting Agency Identification (ID):

The requesting agency ID is assigned to your agency by the MSP. No request for fingerprinting can be completed without an agency ID. Please ensure the correct fingerprinting reason code and agency Identification is used. The MSP will charge for second requests due to incorrect codes.

3. Agency Name:

The agency name is the legal name of the authorized agency. For schools specifically, the agency name is the name recognized by the Michigan Department of Education.

4. Individual ID (MNU-OA)

The Individual ID is a unique identifier specific to the individual requested to submit fingerprints. An ID such as a state issued licensing number, a Personnel Identification Code (PIC) number, or other similar uniquely issued identifier/number.

Section II:

Applicant Information:

This section can be completed by the authorized agency, the individual, or as a joint effort by both. Section II specifically pertains to the demographic information needed in order to obtain the biometric data of the applicant and is a unique identifier specific to the applicant.

Section III:

Live Scan Information:

This section is required to be completed by the Live Scan vendor operator and must be completed at the time of fingerprinting. After fingerprinting, the applicant shall return this signed and completed document to the requesting agency. The Live Scan operator must return a completed copy of the form to the applicant.